FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/a ala: a arta a	D C	20540	
ashington,	D.C.	20549	

OMB APPROVAL									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* FAIRBANK RICHARD D						2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP [COF								heck all app	licable)	ng Pers	Person(s) to Issuer 10% Owner Other (specify	
(Last) 1680 CA	(F APITAL ON	ŕ	(Middle)		3. Date of Earliest Tran 07/26/2023					nsaction (Month/Day/Year)					below) below) Chairman and CEO			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
(Street) MCLEA	N V	A :	22102		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(S	tate) ((Zip)			Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												ded to
		Tabl	e I - No	n-Deriv	ative	Sec	urit	ies Ac	quired,	Dis	posed o	of, or B	eneficia	ally Owne	ed			
		Date		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)			4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		Benefic Owned	ties cially Following	Form (D) or	r Indirect (7. Nature of Indirect Beneficial Ownership			
									Code	v	Amount	(A) o (D)	Price	Report Transa (Instr. :	ed ction(s) 3 and 4)			(Instr. 4)
Common Stock ⁽¹⁾			07/26/	/2023				M		3,891	l A	\$71.	06 3,8	52,297		D		
Common Stock ⁽¹⁾ 07/26/2		2023	:3			S		3,891	l D	\$115	.06 3,8	48,406		D				
Common Stock ⁽¹⁾ 07/27/2			2023)23		М		3,891	l A	\$71.	06 3,8	3,852,297		D				
Common Stock ⁽¹⁾ 07/27/2				2023			S		3,891 D \$		\$115	12 3,848,406			D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security			3A. Deem Execution if any (Month/D	on Date,		ransaction Code (Instr.		umber ivative urities juired or oosed D) tr. 3, 4	6. Date Ex Expiration (Month/Da	Date	:	7. Title at Amount Securitie Underlyit Derivativ (Instr. 3 a	of s ng e Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisabl		expiration Pate	Title	Amount or Number of Shares					
Stock Options ⁽¹⁾	\$70.96	07/26/2023			M			3,891	02/15/201	7 0	1/29/2024	Common Stock	3,891	\$0	97,271		D	
Stock Options ⁽¹⁾	\$70.96	07/27/2023			M			3,891	02/15/201	7 0	1/29/2024	Common Stock	3,891	\$0	93,380		D	

Explanation of Responses:

1. This transaction was executed pursuant to a trading plan entered into by the reporting person on February 10, 2023, in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

Remarks:

/s/ Cleo Belmonte (POA on <u>file</u>) ** Signature of Reporting Person

07/28/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.