# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

March 17, 2020

Date of Report (Date of earliest event reported)

## **CAPITAL ONE FINANCIAL CORPORATION**

(Exact name of registrant as specified in its charter)

001-13300

54-1719854

(State or other jurisdiction of incorporation)	(Commission File Number)	(IRS Employer Identification No.)
1680 Capital One Drive,		
McLean, Virginia		22102
(Address of principal executive offices)		(Zip Code)
Registr	ant's telephone number, including area code: (703) 720-	1000
(For	(Not applicable) mer name or former address, if changed since last repor	t)
k the appropriate box below if the Form 8-K filing is intended to below):	simultaneously satisfy the filing obligation of the registran	t under any of the following provisions (see General Instruction
Written communications pursuant to Rule 425 under the Secu	rities Act (17 CFR 230.425)	
Soliciting material pursuant to Rule 14a-12 under the Exchange	ge Act (17 CFR 240.14a-12)	
Pre-commencement communications pursuant to Rule 14d-2(	b) under the Exchange Act (17 CFR 240.14d-2(b))	
Pre-commencement communications pursuant to Rule 13e-4(	c) under the Exchange Act (17 CFR 240.13e-4(c))	

### Securities registered pursuant to Section 12(b) of the Act:

Title of Each Class	Trading Symbol(s)	Name of Each Exchange on Which Registered
Common Stock (par value \$.01 per share)	COF	New York Stock Exchange
Depositary Shares, Each Representing a 1/40th Interest in a Share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series F	COF PRF	New York Stock Exchange
Depositary Shares, Each Representing a 1/40th Interest in a Share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series G	COF PRG	New York Stock Exchange
Depositary Shares, Each Representing a 1/40th Interest in a Share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series H	COF PRH	New York Stock Exchange
Depositary Shares, Each Representing a 1/40th Interest in a Share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series I	COF PRI	New York Stock Exchange
Depositary Shares, Each Representing a 1/40th Interest in a Share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series J	COF PRJ	New York Stock Exchange
0.800% Senior Notes Due 2024	COF24	New York Stock Exchange
1.650% Senior Notes Due 2029	COF29	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

Delaware

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

## Item 8.01 Other Events.

On March 17, 2020, Capital One Financial Corporation announced that it suspended its share buyback program on March 13, 2020 in response to the COVID-19 pandemic.

The press release announcing the temporary suspension is attached as Exhibit 99.1 hereto and incorporated herein by reference.

## Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
99.1	Press Release, dated March 17, 2020
104	The cover page from this Current Report on Form 8-K, formatted in Inline XBRL

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

## CAPITAL ONE FINANCIAL CORPORATION

Date: March 17, 2020 By: /s/ R. Scott Blackley

R. Scott Blackley

**Chief Financial Officer** 



Contacts: Media Release

**Investor Relations** 

Jeff Norris 703.720.2455 Danielle Dietz 703.720.2455

**Media Releations** 

Sie Soheili Tatiana Stead 703.720.3929 703.720.2352

For Immediate Release: March 17, 2020

### **Capital One Halts Share Buyback Program**

McLean, Va., March 17, 2020 - Capital One Financial Corporation (NYSE: COF) announced today that it suspended its share buyback program on March 13, 2020 in response to the COVID-19 pandemic. Capital One is committed to maintaining strong capital and liquidity to meet the needs of its customers and communities during this exceptional period of economic uncertainty. The suspension of share buybacks will not impact dividend payments to shareholders and Capital One has the ability to reinstate the buyback program as circumstances warrant.

#### **About Capital One**

Capital One Financial Corporation (www.capitalone.com) is a financial holding company whose subsidiaries, which include Capital One, N.A., and Capital One Bank (USA), N.A., had \$262.7 billion in deposits and \$390.4 billion in total assets as of December 31, 2019. Headquartered in McLean, Virginia, Capital One offers a broad spectrum of financial products and services to consumers, small businesses and commercial clients through a variety of channels. Capital One, N.A. has branches located primarily in New York, Louisiana, Texas, Maryland, Virginia, New Jersey and the District of Columbia. A Fortune 500 company, Capital One trades on the New York Stock Exchange under the symbol "COF" and is included in the S&P 100 index. Visit the Capital One newsroom for more Capital One news.