FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, [| D.C. 20549 |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

| OMB APPROVAL | | | | | | | |
|--------------------------|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | |
| hours per response: 0.5 | | | | | | | |

| Instruc | tion 1(b). | | | Filed pursua or Se | nt to S ction 3 | ection 16(a) o 0(h) of the In | of the Se vestmen | curitie t Com | es Exchange a pany Act of 1 | Act of 19 1940 | 34 | | Liouis | per resp | | 0.5 |
|---|----------------|--------|--------------|--|--------------------|--|----------------------------|------------------|------------------------------------|-------------------|---------------------------------|--|---|--|------------------------------|---|
| Name and Address of Reporting Person* Killalea Peter Thomas | | | CA | 2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP COF | | | | | | | heck all ap X Dire | olicable) ctor | ng Pers | g Person(s) to Issuer 10% Owner Other (speci | | |
| (Last) 1680 CA | (Fir | , | ⁄liddle) | | te of Ea | arliest Transa 0 | action (M | onth/[| Day/Year) | | | belo | er (give title w) | | below) | specily |
| (Street) MCLEA (City) | N VA | | 2102 Zip) | 4. If A | mendr | ment, Date of | Origina | Filed | (Month/Day/ | Year) | 6. Lir | ne) X Forr | or Joint/Grou In filed by On In filed by Mo In | e Repor | ting Pers | on |
| | | Table | I - Non-D | Derivative S | ecur | ities Acq | uired, | Disp | osed of, | or Ben | efici | ally Owr | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transar Date | | | | Execution Date, | | Transaction Disposed Code (Instr. 5) | | | | | Beneficially Owned Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| 1. Title of \$ | Security (Inst | tr. 3) | Da | Transaction ate Month/Day/Year) | Exec if any | ution Date, | Transa Code (| | 4. Securities Disposed Of 5) | | | nd Secur Benef Owne | ties cially d Following | Form: (D) or I | Direct Indirect | 7. Nature of Indirect Beneficial Ownership |
| 1. Title of S | Security (Inst | ir. 3) | Da | ate | Exec if any | ution Date, | Transa Code (| | Disposed Of | | | nd Secur Benef Owne Repor | ties cially d Following | Form: (D) or I | Direct Indirect | of Indirect Beneficial |
| 1. Title of S | | ir. 3) | Da (M | ate | Exec if any | ution Date, | Transa Code (8) | nstr. | Disposed Of 5) | (A) or | r. 3, 4 a | nd Secur Benef Owne Repoi Trans (Instr. | ities icially d Following ted action(s) | Form: (D) or I (I) (Inst | Direct Indirect | of Indirect Beneficial Ownership |
| | | , | Da (M | ate Month/Day/Year) | Exect if any (Mon | eution Date, y htth/Day/Year) ies Acqui | Transa Code (8) Code | v ispo | Amount 2,780 sed of, or | (A) or (D) A Bene | Price | nd Secur Benef Owne Repoi Trans (Instr. | tities icially d Following ted action(s) 3 and 4) | Form: (D) or I (I) (Inst | Direct Indirect tr. 4) | of Indirect Beneficial Ownership |

Explanation of Responses:

Security

Date

Exercisable

Remarks:

/s/ Cleo Belmonte (POA on

Title

Security (Instr. 3 and 4)

Amount Number

Shares

05/04/2020

Following

Reported

Transaction(s) (Instr. 4)

(I) (Instr. 4)

file)

Expiration

Date

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

ν Code

(A) or Disposed

of (D) (Instr. 3, 4 and 5)

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{1.} Restricted stock units which vest in their entirety on April 30, 2021 and settle in shares of the Company's common stock upon termination of service as a director. The reporting person will also be entitled to additional shares representing dividends accrued on the shares issuable at settlement.