## SEC Form 3 FORM 3

# UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A williams	-	orting Person <sup>*</sup>	2. Date of E Requiring S (Month/Day	itatement /Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [ COF ]					
(Last) 1680 CAPI	(First) TAL ONE D	(Middle) RIVE	02/26/2021		4. Relationship of Relsuer (Check all applicable X Director			5. If Amendment, Date of Original Filed (Month/Day/Year) 03/02/2021		
(Street) MCLEAN (City)	VA (State)	22102 (Zip)			Officer (give title below)		Other ( below)		A Person	e Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				1	2. Amount of Securit Beneficially Owned ( 4)	Instr.			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock					195 <sup>(1)</sup>		D			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)										
· · · · · · · · · · · · · · · · · · ·			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)		curity Conver or Exer		cise Form:	6. Nature of Indirect Beneficial Ownership (Instr.
		Date Exercisable	Expiration Date	Title	OI N Of	lumber	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	

### **Explanation of Responses:**

1. This number of shares includes 29 shares of the Issuer's common stock that were omitted from the reporting person's original Form 3 filed on March 2, 2021 and also were omitted from the two Forms 4 filed by the reporting person on March 2, 2021 and May 10, 2021.

### **Remarks:**

<u>/s/ Cleo Belmonte (POA</u>	03/11/2022
<u>on file)</u>	<u>U3/11/2022</u>
** Signature of Boporting	

nature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.