#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Form 144 Filer Information Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

# 144: Filer Information

Filer CIK 0001195086 Filer CCC XXXXXXXX Is this a LIVE or TEST Filing? LIVE Submission Contact Information

Name Phone E-Mail Address

# 144: Issuer Information

Name of Issuer Capital One Financial Corporation SEC File Number 001-13300 1680 Capital One Drive McLean Address of Issuer VIRGINIA 22102 703-720-1000 Phone Name of Person for Whose Account the Securities are To Be Sold Bradford H. Warner

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

### **144: Securities Information**

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market		Approximate Date of Sale	SACHPITIAG
Common Stock	J.P. Morgan Securities LLC 390 Madison Avenue 6th Floor New York NY 10017	2800	387576	381922067	07/05/2024	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

# 144: Securities To Be Sold

Title of the Name of Person Is Amount of Nature of Date you Nature of Date Date of Class Acquired Acquisition from Whom this Donor **Securities** Payment Payment \*

Former Officer

Transaction	n Acquired	a Acquired Gift?	Acquired	
Common Stock 05/24/2024 Transfer	Bradford H. Warner, who acquired the shares from compensation over one year ago		2800	05/24/2024 N/A

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
Bradford H. Warner C/o Capital One Financial Corporation 1680 Capital One Drive McLean VA 22102	Common Stock	05/06/2024	28000	4011652
Bradford H. Warner C/o Capital One Financial Corporation 1680 Capital One Drive McLean VA 22102	Common Stock	06/04/2024	2800	381965.37

# 144: Remarks and Signature

Remarks The shares filed today will be sold by the Bradford H. Warner 2002 Trust, Bradford H. Warner as Trustee Date of Notice 07/05/2024 ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ J.P. Morgan Securities LLC as agent and attorney-in-fact for Bradford H. Warner

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)