

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK 0001238032
Filer CCC XXXXXXXXX
Is this a LIVE or TEST Filing? LIVE TEST

Submission Contact Information

Name
Phone
E-Mail Address

144: Issuer Information

Name of Issuer CAPITAL ONE FINANCIAL CORPORATION
SEC File Number 001-13300
Address of Issuer 1680 Capital One Drive
McLean
VIRGINIA
22102
Phone (703) 720-1000
Name of Person for Whose Account the Securities are To Be Sold RICHARD D FAIRBANK

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer
Relationship to Issuer Director

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common	Morgan Stanley Smith Barney LLC Executive Financial Services 1 New York Plaza 38th Floor New York NY 10004	3890	404560.00	380846771	11/10/2023	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired	Is this a Gift?	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
Common	11/10/2023	Stock Option Exercise	Issuer	<input type="checkbox"/>		3890	11/10/2023	Cash

* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/09/2023	3890	411406.40
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/08/2023	3891	408555.00
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/07/2023	3891	407932.44
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/06/2023	3891	412835.10
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/03/2023	3891	411940.17
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/02/2023	3891	396882.00
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	11/01/2023	3891	395520.15
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	10/31/2023	3891	392524.08
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	10/30/2023	3891	383730.42
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	08/14/2023	3891	425986.68
RICHARD D FAIRBANK 1680 Capital One Drive McLean VA 22102	Common	08/11/2023	3891	428010.00

144: Remarks and Signature

Remarks Sales that were executed on November 10, 2023 are being reported on this Form 144 filed on November 13, 2023 given the EDGAR system was not accepting filings on November 10 in observance of the federal holiday.

Date of Notice 11/13/2023

Date of Plan Adoption or
Giving of Instruction, If 02/10/2023
Relying on Rule 10b5-1

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Richard D. Fairbank

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)