SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

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(City)	(State)	(Zip)	erivative Securities Acquired, Disposed of, or Benefi		Owned			
,			—		Form filed by More than One Reporting Person			
(Street) MCLEAN	VA	22102	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Indi Line) X	,			
(Last) (First) (1680 CAPITAL ONE DRIVE		(Middle)	01/27/2010		President, Banking			
1. Name and Add <u>Pike Lynn A</u>	1 0		2. Issuer Name and Ticker or Trading Symbol <u>CAPITAL ONE FINANCIAL CORP</u> [COF] 3. Date of Earliest Transaction (Month/Day/Year)		ationship of Reporting Pe k all applicable) Director Officer (give title below)	rson(s) to Issuer 10% Owner Other (specify below)		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Date, Transaction Code (Instr.		4. Securities A Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock ⁽¹⁾	01/27/2010		Α		30,780	A	\$ <mark>0</mark>	176,462	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	\$0 ⁽²⁾	01/27/2010		А		20,520		12/31/2010 ⁽³⁾	12/31/2010	Common Stock	20,520	\$0	20,520	D	

Explanation of Responses:

1. This restricted stock will vest in 33 1/3 increments beginning on January 27, 2011 and annually thereafter.

2. Each restricted stock unit will be settled in cash based on the Company's average stock price over the twenty trading days preceding the vesting date.

3. The restricted stock units will vest in full on December 31, 2010.

Remarks:

Tangela S. Richter (POA on <u>file)</u>

01/29/2010

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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