FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | | |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | UI V | Section | 1 30(11) | OI LITE | IIIVESUIII | SIIL CC | лпрапу Аст | 01 1340 | | | | | | | |
|----------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|----------|-----------------------------------------|------------------------------------------------------------------------------|----------|--------------------------------|----------------------------------------------------|---------|------------------------|---------------------------------------------------------------------------------------------------|-----------------------------------|----------------------|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------|--|
| 1. Name and Address of Reporting Person* Slocum Michael | | | | | | 2. Issuer Name and Ticker or Trading Symbol CAPITAL ONE FINANCIAL CORP [COF | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | |
| (Last) (First) (Middle) 1680 CAPITAL ONE DRIVE | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/31/2013 | | | | | | | | X | belo | , | Other below mercial Bank | , | |
| (Street) MCLEAI | | | 22102 Zip) | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Ind Line) | Forr Forr | idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | Tabl | e I - No | on-Deriv | ative | Sec | uritie | s Ac | quired | l, Dis | sposed o | f, or E | 3ene | ficially | Own | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day) | | | | | | Execution Date, | | 3. Transaction Code (Instr. 8) | | | es Acqui Of (D) (In | red (A) str. 3, | or 4 and 5) | Secur Benef | icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | Code | v | Amount | (A) ((D) | Pr | ice | Trans | action(s) 3 and 4) | | (Instr. 4) | |
| Common Stock ⁽¹⁾ 01/31/20 | | | | | 2013 | | | | S | | 7,947 | D | \$ | 56.64 ⁽²⁾ | 2 | 26,370 | D | | |
| | | Та | ble II - | | | | | | , | | osed of, convertib | | | • | wned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Transactior Code (Instr. 8) | | | | 6. Date Exercis Expiration Dat (Month/Day/Ye | | te | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | Der Sed (Ins | Price of ivative curity str. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction((Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | Amor or Numl of Share | per | | | | | |

Explanation of Responses:

- 1. This transaction was executed pursuant to a trading plan entered into by the reporting person on November 1, 2012, in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.
- 2. These shares were sold at prices ranging from \$56.45 to \$56.71. Information regarding the number of shares sold at each price will be provided upon request.

<u>Gregory W. Seward (POA on file)</u>

02/01/2013

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.